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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

<b>RESPONSE TO REQUEST FOR SUBSTITUTE PAPERS</b>		Docket Number: <b>10020/20702</b>	
Application Number <b>09/978,455</b>	Filing Date <b>October 16, 2001</b>	Examiner <b>Not yet assigned</b>	Art Unit <b>1774</b>
Invention Title <b>SMART CARD COMPOSED OF ORGANIC PROCESSING ELEMENTS</b>		Inventor(s) <b>LAMANSKY et al.</b>	

**VIA HAND:**

Customer Service Window  
Room 1B03, Crystal Plaza 2  
Arlington, Virginia 22202

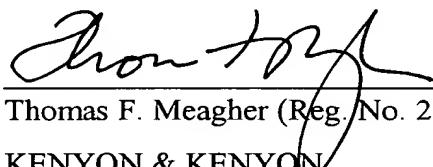
In response to the Request for Substitute Papers, mailed March 19, 2002 for the above-referenced application, enclosed please find a complete and accurate copy of each of the following papers which were previously filed with the U.S. Patent Office on January 3, 2002 as shown in the certificate of mailing for each paper.

1. A signed copy of the Request for Substitute Papers.
2. Response to Notice to File Missing Parts of Application, together with five (5) executed Declarations, forty-nine (49) sheets of substitute drawings without excessive text in accordance with 37 C.F.R. §1.84, and a copy of the Notice of File Missing Parts of Nonprovisional Application mailed November 5, 2001.
3. Transmittal letter together with three (3) executed Appointment of Power of Attorney by Assignees of Entire Interest documents.
4. Executed Assignment document from the inventors Adachi, Baldo and Forrest to THE TRUSTEES OF PRINCETON UNIVERSITY, together with Form PTO-1595 recordation form cover sheet.
5. Executed Assignment document from the inventors Lamansky, Thompson, Adamovich and Djurovich to THE UNIVERSITY OF SOUTHERN CALIFORNIA, together with Form PTO-1595 recordation form cover sheet.
6. Executed Assignment document from the inventor Kwong to UNIVERSAL DISPLAY CORPORATION, together with Form PTO-1595 recordation form cover sheet.

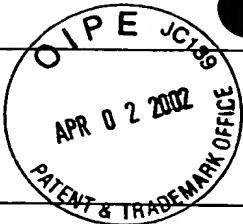
No fee is believed to be due in connection with the enclosed Request for Substitute Papers. However, should any fees be due, the Commissioner is authorized to charge Deposit Account No. 11-0600 for such fees. A duplicate copy of this communication is enclosed for charging purposes.

Dated: 4/1/02

By:

  
Thomas F. Meagher (Reg. No. 29,831)

KENYON & KENYON  
One Broadway  
New York, N.Y. 10004  
(212) 425-7200 (telephone)  
(212) 425-5288 (facsimile)



U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Transmittal Letter		Docket Number: <b>10020/20702</b>	
Application Number <b>09/978,455</b>	Filing Date <b>October 16, 2001</b>	Examiner <b>Not yet assigned</b>	Art Unit <b>1774</b>
Invention Title <b>ORGANOMETALLIC COMPOUNDS AND EMISSION-SHIFTING ORGANIC ELECTROPHORESCENCE</b>	Inventor(s) <b>LAMANSKY et al.</b>		

Address to:

Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on  
Date: 1/31/02 1 PM Reg. No. 29,831  
Signature: Thomas F. Meagher  
Thomas F. Meagher

1. Please find enclosed the following document filed in connection with the above-referenced application:  
  
Three (3) Appointment of Power of Attorney by Assignees of Entire Interest.
2. No fee is believed necessary however, the Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**:
  - A. Any additional filing fees required under 37 C.F.R. § 1.16;
  - B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
  - C. Any additional patent issue fees under 37 C.F.R. § 1.18;
  - D. Any additional document supply fees under 37 C.F.R. § 1.19;
  - E. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
  - F. Any additional miscellaneous fees under 37 C.F.R. § 1.21.

Dated: 1/21/02

By:

Thomas F. Meagher  
Thomas F. Meagher (Reg. No. 29,831)

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One Broadway  
New York, N.Y. 10004  
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COPY OF PAPERS  
ORIGINALLY FILED  
01/23/02

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION --  
FILING DATE GRANTED**

Docket Number:  
**10020/20702**

Application Number <b>09/978,455</b>	Filing Date <b>October 16, 2001</b>	Examiner <b>Not yet assigned</b>	Art Unit <b>1774</b>
Invention Title <b>ORGANOMETALLIC COMPOUNDS AND EMISSION-SHIFTING ORGANIC ELECTROPHORESCENCE</b>	Inventor(s) <b>LAMANSKY et al.</b>		

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box Missing Parts

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 11/3/02 TFM  
Signature: Thomas F. Meagher  
Thomas F. Meagher (Reg. No. 29,831)

To complete the filing requirements for the above-referenced application under 37 C.F.R. § 1.51, enclosed please find five (5) executed Declarations which contain the signatures of all of the named inventors. In addition, please find forty-nine (49) sheets of substitute drawings, without excessive text, in compliance with 37 C.F.R. § 1.84. A copy of the Notice to File Missing Parts of Nonprovisional Application, mailed November 5, 2001, is also enclosed.

1. The Commissioner is hereby authorized to charge payment of the 37 C.F.R. § 1.16(e) surcharge fee of \$65.00 to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**. The Commissioner is also authorized to charge any additional fees or credit any overpayment in connection with this paper to Deposit Account No. 11-0600.
2. A duplicate copy of this sheet is enclosed.

Dated: 11/2/02

By:

Thomas F. Meagher  
Thomas F. Meagher (Reg. No. 29,831)

KENYON & KENYON  
One Broadway  
New York, N.Y. 10004  
(212) 425-7200 (telephone)  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/978,455	10/16/2001	Lamansky, Sergey	10020/20702

26646

KENYON & KENYON  
ONE BROADWAY  
NEW YORK NY 10004

**Title:** Organometallic compounds and emission-shifting organic electrophosphorescence

Date Mailed: 03/19/2002

## Request for Substitute Papers

The papers filed on 01/23/02 (certificate of mailing dated 01/03/02) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A **copy of this notice should be included with the reply.**

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The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Thomas F. Meagher Registration No.: 29,831

Signature: Thom Meagher Date: 4/1/02



UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS  
ORIGINALLY FILEDCOMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

Q73

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/978,455	10/16/2001	Sergey Lamansky	10020/20702

26646  
KENYON & KENYON  
ONE BROADWAY  
NEW YORK, NY 10004

COPY OF PAPERS  
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01/23/02

CONFIRMATION NO. 5124  
FORMALITIES LETTER



\*OC000000007024841\*

Date Mailed: 11/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

#### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible (see 37 CFR 1.84(o));

*A copy of this notice **MUST** be returned with the reply.*

PART 2 - COPY TO BE RETURNED WITH RESPONSE